

State of Alaska FY2011 Governor's Operating Budget

Department of Health and Social Services Community Action Prevention & Intervention Grants Component Budget Summary

Component: Community Action Prevention & Intervention Grants

Contribution to Department's Mission

Ensure that effective community-based behavioral health prevention services are available statewide, incorporating community readiness, planning, partnerships and coalitions, and evidence-based strategies that demonstrate positive outcomes for individuals, families, and communities.

Core Services

- To provide the foundation funding for Alaska's effort to prevent substance abuse within the State, with a special focus on preventing youth from experimenting with and becoming addicted to alcohol and other drugs, and to decrease all negative impacts of alcohol and substance abuse in Alaska.

Key Component Challenges

The Division of Behavioral Health's prevention and early intervention services section (CAPI) is striving to develop a clear, comprehensive and integrated approach to prevention and early intervention of all behavioral health issues. For too long we have segregated social and health problems into manageable but isolated parts, missing the powerful effects of a broad combination of interventions that can impact related and intertwined problems. We believe that combining our funding (substance abuse, suicide and fetal alcohol syndrome prevention) will create a more flexible, accountable, innovative and measurable program. This approach will help reduce the suicide rate, promote family wellness, reduce drinking and drug use among teens and adults, and increase healthy alcohol free and drug free pregnancies, thereby reducing the number of infants born with effects of prenatal alcohol exposure.

Our approach is to develop comprehensive, integrated behavioral health prevention and early intervention services related to substance use and abuse, suicide, fetal alcohol spectrum disorders, youth development, and resiliency; and to promote community, family and individual wellness across Alaska.

Since 2006, the Prevention section has promoted strong community-based prevention efforts through increased use of data, assessment of resources, and planning to develop outcome-oriented programming that can begin to turn the curve on behavioral health problems experienced by Alaskans and our communities.

With support and technical assistance from the federal Substance Abuse and Mental Health Services Administration (SAMHSA), Alaska is utilizing the Strategic Prevention Framework (SPF) in all of our grant programs. The SPF is a five-step process that helps states and communities better identify the real issues they are struggling with and the most appropriate strategies for addressing these challenges. This move to an outcome-focused granting process has been deliberate, focused and challenging. These efforts, which began in FY06, have culminated in Behavioral Health using Performance Based Funding as a critical element of our decision-making and evaluation of the performance of our community-based grantees. No longer do we expect grantees to only provide output information (how much did we do), but now require a clear focus on outcomes (how well did we do it and is anyone better off). The process has been a challenge to state staff as well as community partners, but the end result is a focused partnership, better communication, and increased training and technical assistance to our community agencies/programs.

As part of this change, there have been increased data collection requirements from our federal partner SAMHSA. The National Outcome Measures (NOMs), a requirement for receiving federal substance abuse prevention and treatment block grant dollars, has required new data be collected and reported at the national level. This has required revisions to our state quarterly reporting forms in the past two years as we find better and more user-friendly ways to collect the needed data. In FY08, we began collecting demographic data on all participants served by community prevention programs - age, gender and race data. We will continue offering training and technical assistance for our grantees to ensure the most accurate data collection.

Developing a strong, integrated continuum of care within Behavioral Health continues to be a challenge. Looking broadly across the continuum from promotion to prevention to early intervention to treatment and to recovery requires a great deal of communication, partnering and coalition-building both at the state level and the community level. Working in partnership, while more beneficial in the long-run, is more difficult in the short-run. We continue to work with all of our

community grantees to encourage a broader community or regional approach, not just an agency-by-agency service system.

Significant Changes in Results to be Delivered in FY2011

There will be no significant changes in results to be delivered in FY2011.

Major Component Accomplishments in 2009

- A cross-discipline working group has completed a second revision of the Alaska epidemiological (Epi) profile of substance use in Alaska that focuses on consumption and consequences. In addition, we completed a document on influences and indicators related to substance use to provide a more complete picture of the impact alcohol, tobacco and other drugs have on the health and well-being of Alaska's individuals, families and communities. We have completed two regional Epi profiles for the Anchorage/Mat-Su and the Fairbanks/Interior regions. Additional regional profiles will be completed in FY10.
- As a continuation of the Epi Profile contract, Behavioral Health applied for and received (beginning July 1, 2009) a federal Strategic Prevention Framework State Incentive Grant (SPF SIG) that will allow us to build community and regional prevention capacity across Alaska. The SPF SIG is a five-year grant award of \$2.1 million per year; 85% of these funds must be awarded to community or regional grant programs.
- The Alaska Interagency Coordinating Committee to Prevent Underage Drinking (AKPUD) has completed the State of Alaska Plan to Reduce & Prevent Underage Drinking. During FY09 we completed the review of all public comments to the Plan, incorporated those comments and finalized the publication of the Plan in October 2009. Committee membership includes the divisions of Behavioral Health and Juvenile Justice; Department of Education and Early Development; Department of Transportation and Public Facilities, Highway Safety Office; Alaska Court System; Department of Public Safety, Alcoholic Beverage Control Board; and the Alaska Native Justice Center. The Plan is available in hardcopy and electronically on the DBH website.
- As part of the annual Prevention grantee meeting, DBH planned a two-day training on Coalition-Building utilizing national trainers from the Community Anti-Drug Coalitions of America (CADCA). This is a first-step in moving grantees away from agency-based solutions to broader community and/or regional solutions. The training was held in early FY10, drawing approximately 200 participants from across Alaska. This training will begin the discussion of how communities can work in partnership to better leverage their financial and human resources and work in collaboration to increase community and regional health and wellness on a broad spectrum of service arenas.

Statutory and Regulatory Authority

AS 47.30.470-500	Mental Health
AS 47.37	Uniform Alcoholism and Intoxication Treatment Act
7 AAC 78	Grant Programs

Contact Information
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Community Action Prevention & Intervention Grants Component Financial Summary

All dollars shown in thousands

	FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	0.0	0.0	0.0
72000 Travel	0.0	0.0	0.0
73000 Services	46.2	402.0	402.0
74000 Commodities	30.2	30.0	30.0
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	2,525.2	4,398.2	4,398.2
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	2,601.6	4,830.2	4,830.2
Funding Sources:			
1002 Federal Receipts	926.4	2,919.3	2,919.3
1004 General Fund Receipts	717.1	863.7	863.7
1037 General Fund / Mental Health	958.1	1,047.2	1,047.2
Funding Totals	2,601.6	4,830.2	4,830.2

Estimated Revenue Collections

Description	Master Revenue Account	FY2009 Actuals	FY2010 Conference Committee	FY2010 Authorized	FY2010 Authorized	FY2011 Governor
Unrestricted Revenues						
None.		0.0	0.0	0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0	0.0	0.0
Restricted Revenues						
Federal Receipts	51010	0.0	0.0	0.0	0.0	2,919.3
Restricted Total		0.0	0.0	0.0	0.0	2,919.3
Total Estimated Revenues		0.0	0.0	0.0	0.0	2,919.3

**Summary of Component Budget Changes
From FY2010 Management Plan to FY2011 Governor**

All dollars shown in thousands

	<u>General Funds</u>	<u>Federal Funds</u>	<u>Other Funds</u>	<u>Total Funds</u>
FY2010 Management Plan	1,910.9	2,919.3	0.0	4,830.2
FY2011 Governor	1,910.9	2,919.3	0.0	4,830.2

Component Detail All Funds
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)

RDU: Behavioral Health (483)

	FY2009 Actuals	FY2010 Conference Committee	FY2010 Authorized	FY2010 Management Plan	FY2011 Governor	FY2010 Management Plan vs FY2011 Governor	
71000 Personal Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
72000 Travel	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
73000 Services	46.2	243.4	243.4	402.0	402.0	0.0	0.0%
74000 Commodities	30.2	101.2	101.2	30.0	30.0	0.0	0.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	2,525.2	2,617.8	2,617.8	4,398.2	4,398.2	0.0	0.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	2,601.6	2,962.4	2,962.4	4,830.2	4,830.2	0.0	0.0%
Fund Sources:							
1002 Fed Rcpts	926.4	935.3	935.3	2,919.3	2,919.3	0.0	0.0%
1004 Gen Fund	717.1	979.9	979.9	863.7	863.7	0.0	0.0%
1037 GF/MH	958.1	1,047.2	1,047.2	1,047.2	1,047.2	0.0	0.0%
General Funds	1,675.2	2,027.1	2,027.1	1,910.9	1,910.9	0.0	0.0%
Federal Funds	926.4	935.3	935.3	2,919.3	2,919.3	0.0	0.0%
Other Funds	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Positions:							
Permanent Full Time	0	0	0	0	0	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios With Descriptions
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions PFT	PPT	NP
***** Changes From FY2010 Conference Committee To FY2010 Authorized *****												
FY2010 Conference Committee												
ConfCom		2,962.4	0.0	0.0	243.4	101.2	0.0	2,617.8	0.0	0	0	0
1002 Fed Rcpts		935.3										
1004 Gen Fund		979.9										
1037 GF/MH		1,047.2										
Subtotal		2,962.4	0.0	0.0	243.4	101.2	0.0	2,617.8	0.0	0	0	0
***** Changes From FY2010 Authorized To FY2010 Management Plan *****												
ADN 06-0-0095 Realign funding to Meet Operational Needs												
LIT		0.0	0.0	0.0	-10.4	0.0	0.0	10.4	0.0	0	0	0
Transfer authorization from Services to Grants to maximize the funding to community agencies. The funding is available in Services due to the consolidation of a health services contract with a training contract that resulted in cost savings.												
ADN 06-0-0095 Transfer GF Authority to the AK Fetal Alcohol Syndrome Program Component												
Trout		-116.2	0.0	0.0	-45.0	-71.2	0.0	0.0	0.0	0	0	0
1004 Gen Fund		-116.2										
Transfer general fund (GF) authority from the Community Action Prevention & Intervention (CAPI) component to the AK Fetal Alcohol Syndrome Program component for Fetal Alcohol Spectrum Disorder (FASD) Provider Agreements. Four FASD teams (Sitka, Dillingham, Copper Center and Ketchikan) had suspended services due to staff changes and loss of critical team players. All four are currently working to re-establish themselves and begin offering services during FY 2010. This had not been anticipated during the development of the FY 2010 Governor's budget. The GF is available in CAPI from a Reimbursable Services Agreement (RSA) with the University (Career Ladder Articulation for Village & Rural Counselors) that will not be pursued in FY 2010.												
ADN 06-0-0095 Transfer Excess Federal Authority from Behavioral Health Administration												
Trin		1,984.0	0.0	0.0	214.0	0.0	0.0	1,770.0	0.0	0	0	0
1002 Fed Rcpts		1,984.0										
Transfer excess federal authority from the Behavioral Health Administration (BH Admin) component to the Community Action Prevention & Intervention (CAPI) component to allow for collection of a new federal Strategic Prevention Framework State Incentive Grant.												
The excess federal authority is available in the BH Admin component from the federal Traumatic Brain Injury Implementation Grant and the federal Comprehensive Systems Development Grant that both ended in FY09.												
The funds are being transferred from the contract line (73000) in BH Admin to the grant line (77000) in CAPI in order to align funding with operational needs.												
Subtotal		4,830.2	0.0	0.0	402.0	30.0	0.0	4,398.2	0.0	0	0	0

Change Record Detail - Multiple Scenarios With Descriptions
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)

RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2010 Management Plan To FY2011 Governor *****												
	Totals	4,830.2	0.0	0.0	402.0	30.0	0.0	4,398.2	0.0	0	0	0

Line Item Detail
Department of Health and Social Services
Services

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
73000	Services		46.2	402.0	402.0
Expenditure Account	Servicing Agency	Explanation	FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
73000 Services Detail Totals			0.0	0.0	402.0
73026	Training/Conferences	Grantee meeting costs	0.0	0.0	110.0
73029	Memberships	CADCA - Community Anti-Drug Coalitions of America annual membership.	0.0	0.0	3.0
73175	Health Services	5.0 sponsorships of conferences and learning events by partnership agencies.	0.0	0.0	25.0
73668	Room/Space	Meeting room facility costs.	0.0	0.0	15.0
73756	Print/Copy/Graphics	Development and printing of the Prevention Outcomes Report and Underage Drinking Plan.	0.0	0.0	35.0
73979	Mgmt/Consulting (IA Svcs)	Univ RSA with the University for evaluation of the Strategic Prevention Framework State Incentive Grant	0.0	0.0	214.0

Line Item Detail
Department of Health and Social Services
Commodities

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
74000	Commodities		30.2	30.0	30.0
Expenditure Account	Servicing Agency	Explanation	FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
74000 Commodities Detail Totals			0.0	0.0	30.0
74222	Books And Educational	Prevention materials for distribution to grantees.	0.0	0.0	30.0

Line Item Detail
Department of Health and Social Services
Grants, Benefits

Component: Community Action Prevention & Intervention Grants (2596)

RDU: Behavioral Health (483)

Line Number	Line Name		FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
77000	Grants, Benefits		2,525.2	4,398.2	4,398.2
Expenditure Account	Servicing Agency	Explanation	FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
77000 Grants, Benefits Detail Totals			0.0	0.0	4,398.2
77111	Prevention	In FY10 Community Action Prevention & Intervention grants were awarded to, but not limited to the following recipients: Akeela, Inc Alaska Island Community Service Anchorage School District Association of Alaska School Boards Association of Village Council Presidents AVCP Boys & Girls Club of Southcentral Alaska Central Peninsula Hospital Cook Inlet Tribal Council Copper River Native Association Fairbanks Counseling and Adoption Iditarod School Area District Kodiak Area Native Association MatSu Health Services Nulato Nunam Iqua, City of Petersburg Mental Health Services Railbelt Mental Health & Addictions RuralCap Safe and Fear-Free Environment, Inc. SeaView Community Services Shaktoolik Sitka Counseling & Prevention Services Inc SouthEast Alaska Regional Health Cons Spirit of Youth Tanana Chiefs Conference University of Alaska Southeast Volunteers of America - Alaska Yukon Kuskokwim Health Corporation	0.0	0.0	1,603.8
77111	Prevention	CAP grant awards funded from the federal Substance	0.0	0.0	926.4

Line Item Detail
Department of Health and Social Services
Grants, Benefits

Component: Community Action Prevention & Intervention Grants (2596)

RDU: Behavioral Health (483)

Expenditure Account		Servicing Agency	Explanation	FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
77000 Grants, Benefits Detail Totals				0.0	0.0	4,398.2
			Abuse, Prevention, and Treatment Block Grant (SAPT).			
77111	Prevention		Increase for CAPI grant programs providing prevention services.	0.0	0.0	89.1
77111	Prevention		Excess spending authorization for SAPT federal revenue.	0.0	0.0	8.9
77111	Prevention		Strategic Prevention Framework Regional/Community Grants	0.0	0.0	1,770.0

Restricted Revenue Detail

Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Master Account	Revenue Description	FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
51010	Federal Receipts	0.0	0.0	2,919.3

Detail Information

Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
51010	Federal Receipts		06337330	11100	0.0	0.0	1,984.0
	SAMHSA Strategic Prevention Framework State Incentive Grant (SPF SIG), CFDA 93.243. This federal block grant is authorized under the Public Health Service Act to expand the availability of effective substance abuse treatment and recovery services available to Americans to improve the lives of those affected by alcohol and drug additions, and to reduce the impact of alcohol and drug abuse on individuals, families, communities and societies and to address priority mental health needs of regional and national significance and assist children in dealing with violence and traumatic events through by funding grant and cooperative agreement projects.						
57200	Alc/Da/Mh Sv Blk Grt		06337621	11100	0.0	0.0	935.3
	\$926.4 - SAMHSA Substance Abuse, Prevention, and Treatment Block Grant (SAPT), CFDA 93.959. This federal block grant is authorized under the Public Health Service Act, Title XIX, Part B, Subpart II and provides financial assistance to States and Territories to support projects for the development and implementation of prevention, treatment and rehabilitation activities directed to the diseases of alcohol and drug abuse. The division awards these funds to community agencies that provide Comprehensive Behavioral Health Prevention and Early Intervention services throughout Alaska.						
	\$8.9 - Excess federal receipt authorization: to allow for a potential increase to the allocation of the federal Block Grant funds to the State of Alaska.						

Inter-Agency Services
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Expenditure Account		Service Description	Service Type	Servicing Agency	FY2009 Actuals	FY2010 Management Plan	FY2011 Governor
73979	Mgmt/Consulting (IA Svcs)	RSA with the University for evaluation of the Strategic Prevention Framework State Incentive Grant	Inter-dept	Univ	0.0	0.0	214.0
73979 Mgmt/Consulting (IA Svcs) subtotal:					0.0	0.0	214.0
Community Action Prevention & Intervention Grants total:					0.0	0.0	214.0
Grand Total:					0.0	0.0	214.0